

INVICTUS

SECURITIES

37 Victoria Drive, Newlands, Harare, Zimbabwe.
Tel: +263 4 788257/8, 2930385. Fax: +263 4 776607
Email: info@invictus-securities.com

KYC REQUIREMENTS

Documents Required to Open an Account with Invictus Securities Zimbabwe

Please gather the documents listed below for your account type and submit them together with your completed and signed Mandate Form. Incomplete submissions will delay your account opening.

1. INDIVIDUALS

- 1.1 A certified copy of a valid ID document (National ID or Passport), bearing the holder's ID number, photograph and signature.
- 1.2 Proof of Residence: an ORIGINAL address verification document (e.g. utility bill, tenancy agreement) dated within the last 3 months.
- 1.3 Evidence of Source of Funds: bank statement, confirmation of employment, payslip, or similar.
- 1.4 Two (2) passport-size photographs.
- 1.5 A signed Parental Consent form/letter, where the individual opening the account is a minor.

2. CORPORATES

- 2.1 Certificate of Incorporation.
- 2.2 Certified copies of ID documents for all Directors.
- 2.3 Proof of the company's address (any recent utility bill).
- 2.4 Memorandum of Association / Constitution / Trust Deed / Partnership Deed, as applicable.
- 2.5 CR16 form, for declaration of Ultimate Beneficial Owners.
- 2.6 Proof of residence for all Directors and Signatories (copy of a recent utility bill each).
- 2.7 Evidence of Source of Funds: bank statement or similar.
- 2.8 A Board Resolution authorising the use of Invictus Securities (Pvt) Ltd as the company's broker.
- 2.9 Passport-size photographs for all Directors.
- 2.10 A valid Tax Clearance Certificate.

3. IMPORTANT NOTES

- 3.1 All fields on the relevant Mandate Form must be completed truthfully and in full before an account can be opened.
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- 3.2 No third-party payments are permitted when funding an account or receiving sale proceeds, in accordance with SI 103A of 2022.
- 3.3 All purchases must be prefunded, as required by regulation.
- 3.4 Sale proceeds are paid out only after 3 working days, on a T+3 trading basis.
- 3.5 Please return the completed Mandate Form together with the documents listed above to Invictus Securities Zimbabwe — see contact details below.

For assistance completing these requirements, please contact:

Address: 37 Victoria Drive, Newlands, Harare, Zimbabwe

Telephone: +263 242 776607 / 782770 / 788257/8

Email: info@invictus-securities.com

Invictus Securities Zimbabwe — Member of the Zimbabwe Stock Exchange and the Victoria Falls Stock Exchange. Authorised and regulated by the Securities and Exchange Commission of Zimbabwe (SECZ).
